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**FACSIMILE TRANSMITTAL SHEET**

TO: Office of Initial Patent Examination's  
Filing Receipt Corrections

FROM: Norman P. Soloway

COMPANY: U.S. Patent Office

DATE: May 13, 2004

FAX NUMBER: 703-746-9195

TOTAL NO. OF PAGES  
INCLUDING COVER SHEET: 8

PHONE NUMBER: 520-882-7623

OUR REFERENCE: NEC 01207 DIV2

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY

**NOTES/COMMENTS:**

**REQUEST FOR CORRECTION OF FILING RECEIPT**

In re Appln. Of: USAMI  
Serial No.: 10/695,159  
Filed: October 28, 2003  
For: Semiconductor Device and Method of Manufacturing the Same  
Docket: NEC 01207 DIV2

Received: 1. Request for Correction of Filing Receipt (2 pg)  
2. Copy of Filing Receipt w/ Marked Corrections (2 pgs)  
3. Copy of page 1 of Utility Patent Appln. Transmittal (1 pg)  
4. Copy of pages 1 and 2 of Preliminary Amendment (2 pgs)

5/13/04 kmg

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: USAMI  
SERIAL NO: 10/695,159  
FILED: October 28, 2003  
FOR: Semiconductor Device and Method of Manufacturing the Same  
GROUP: 2811 DOCKET: NEC 01207 DIV2

Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

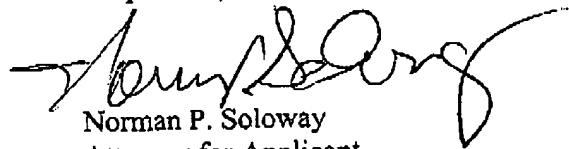
We enclose a copy of the filing receipt in which we have marked the correction for a clerical error contained therein, i.e., "CON of 10/260,947" should be "DIV of 10/260,947".

Also enclosed is a copy of page one of the Utility Patent Application Transmittal and a copy of pages one and two of the Preliminary Amendment as support of the correct information.

We respectfully request that you correct your records and send a corrected filing receipt.

We believe there are no fees involved with the correction. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



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Serial No. 10/695,159  
Docket No. NEC 01207 DIV2  
Request for Correction of Filing Receipt

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being sent via facsimile addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, at number 703-746-9195 on May 13, 2004 at Tucson, Arizona.

By: *Kathryn Grimes*

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/695,159	10/28/2003	2811	770	NEC 01207 DIV2	22	5	1

**Norman P. Soloway**  
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MAY - 6 2004

HAYES SOLOWAY

CONFIRMATION NO. 7174

**FILING RECEIPT**

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Date Mailed: 05/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Tatsuya Usami, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

This application is a ~~cont~~ of 10/260,947 09/30/2002  
which is a DIV of 09/910,994 07/23/2001 PAT 6,514,852

## Foreign Applications

JAPAN 2000-222414 07/24/2000

**If Required, Foreign Filing License Granted: 04/30/2004**

**Projected Publication Date: 08/12/2004**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Semiconductor device and method of manufacturing the same

### Preliminary Class

257

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Express Mail Label No. ER1889182 TS

**COPY****UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
NEC 01207 DIV2Total Pages in this Submission  
4**TO THE COMMISSIONER FOR PATENTS**Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME**

and invented by:

**Tatsuya USAMI**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/260,947

Which is a:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/910,994

Which is a:

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Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 28 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure